



Case/Docket No. VIT02

Application of: Marion D. Skeen

Serial No.: 09/206,663

Filed: 12/07/98

For: REAL-TIME DECISION SUPPORT SYSTEM

THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing are:

- XXX An Assignment of the invention to: VITRIA TECHNOLOGY, INC.  
XXX A Declaration and Power of Attorney.  
XXX A Verified Statement to establish Small Entity Status under 37 CFR 1.9 and 37 CFR 1.27.  
XXX Return addressed stamped postcard.

The Fee has been calculated as shown below:

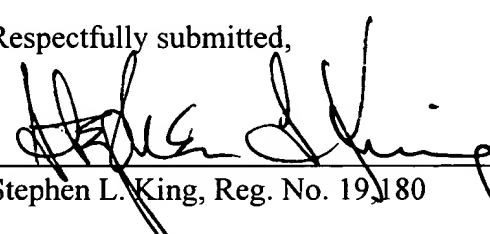
	(Col. 1)	(Col.2)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
For:	No. Filed	No. Extra	RATE	FEE	RATE	FEE
Basic Fee:	-	-	-	0	-	\$790.00
Total Claims:		-0-	x \$11.00	0	x \$22.00	-0-
Indep. Claims:		-0-	x \$41.00	0	x \$82.00	-0-
<input type="checkbox"/> Multiple Dep. Claim(s) Presented			+ \$135.00		+ \$270.00	-0-
* If the difference in (Col. 1) is less than zero, enter "0" in (Col. 2)			Total:	0	Total:	\$0.00

XXX A check in the amount of \$105.00 is enclosed to cover the fee for recording the assignment and the fee for filing missing parts.

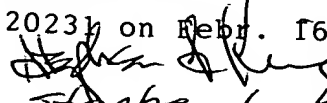
Date: February 16, 1999

30 Sweetbay Road  
Rancho Palos Verdes, California 90275  
(310) 377-5073

Respectfully submitted,

  
Stephen L. King, Reg. No. 19,180

I hereby certify that this correspondence is being deposited as first class mail with sufficient postage with the U. S. Postal Service addressed to: Ass't Commissioner for Patents, Washington, D.C. 20231 on Febr. 16, 1999.

  
Stephen L. King, #19,180



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/206,663 12/07/98 SKEEN M VIT02

0212/1230

STEPHEN L KING  
30 SWEETBAY ROAD  
RANCHO PALOS VERDES CA 90275

NOT ASSIGNED

2787  
DATE MAILED:

12/30/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☒ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 65.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for independent claims over 3.

\$ \_\_\_\_\_ for dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

Applicant must submit other than inventor or person qualified under 37 CFR 1.42.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☒ 9. OTHER: Executed Verified Small Entity Statement

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 368-1202